



PANAMA SHIPPING REGISTRAR INC.

STCW CHECKLIST FOR ISM CODE AUDITS

☒ COMPANY AUDIT

☐ SHIP AUDIT

Mark "YES" or "NO" by a circle in appropriate box for each item. If an item is not applicable mark "NA" in appropriate box. All negative entries should be clarified under "Auditor's Notes" with reference to the item number.

Company Name:	PROYECTOS Y SERVICIOS K'MINO XXI SA	IMO Company No:	9296581
Ship Name:	N/A	IMO No:	N/A
Date & Place:	22 MARCH 2022 & PANAMA, REPUBLIC OF PANAMA	Auditor name/ID:	ENG. CARLOS A. NUÑEZ C. / PA29

I. COMPANY AUDIT[▲]

1. Requirements for Company Audit

- 1.1 Does the Company maintain updated documentary records concerning the employed Seafarers for:
- 1.1.1. Officers valid National / Flag State* Certificates of Competence for the function and level of responsibility at which they are serving on board the ship(s) (Reg. I/2 and I/14). ☒ YES / NO/ NA
 - 1.1.2. Ratings valid National/ Flag State* Certificates of Competence qualified to stand a navigational or engine watch (Reg. I/4, II/4 and III/14) ☒ YES / NO/ NA
 - 1.1.3. Officers certificates revalidation within the last 5 years (Reg.I/11) ☒ YES / NO/ NA
 - 1.1.4. Certificated seafarers medical examination within the last 5 years recognized by the Authority issuing the Certificate of Competence (Reg. I/11) ☒ YES / NO/ NA
 - 1.1.5. English endorsement of Certificates of Competence by the issuing Administration to attest STCW standards fulfillment. (Reg. I/2 and I/14). ☒ YES / NO/ NA
 - 1.1.6. Seafarers experience, i.e. service record prior to and during employment (Reg. I/ 14) ☒ YES / NO/ NA
 - 1.1.7. Training received before and during employment with this Company (Reg.I/14) ☒ YES / NO/ NA
 - 1.1.8. Competency in assigned duties ☒ YES / NO/ NA
 - 1.1.9. Capability of ships complements to effectively coordinate their activities in an emergency situation and in performing functions vital to safety or to the prevention or mitigation of pollution (drills or emergency response exercises) ☒ YES / NO/ NA
- 1.2 If the record keeping is delegated by this Company to Manning Agency(s) or other third Party, can this Company demonstrate that all records identified in above item 1 are being maintained updated (Reg.I/14). ☒ YES / NO/ NA
- 1.3 Does this Company maintain records of Minimum Safety Manning Certificates issued by the Administration for the ship(s) operated by this Company and ensures that such ship(s) are manned in compliance with the applicable safe manning requirements of the Administration (Reg.I/14)? ☒ YES / NO/ NA
- 1.4 Has this Company provided Master(s) of the ship(s) operated by the Company with written instructions concerning the need to ensure that seafarers assigned to the ship for the first time are familiar with the specific equipment and operating procedures of the ship concerned (Reg.I/14). ☒ YES / NO/ NA

[▲] TO BE FILLED OUT AT EVERY INITIAL/ANNUAL/RENEWAL DOC AUDIT

* DELETE AS APPROPRIATE



PANAMA SHIPPING REGISTRAR INC.

- 1.5 Do the written instructions mentioned in above item 4 cover the need to allocate a reasonable amount of time for this first time familiarization (Reg.I/14)? **YES** / NO/ NA
- 1.6 Do the written instructions mentioned in above item 4 cover the designation of a responsible crew member who will ensure that seafarers new assigned to the ship operated by this Company will receive required familiarization in a language understood by them. (Reg.I/14)? **YES** / NO/ NA
- 1.7 If the process used to familiarize the employed seafarers requires approval by the relevant Flag State, has this Company evidence from such Approval (Section VI/I). **YES** / NO/ NA
- 1.8 Does this Company maintain updated records of employed seafarers that have completed the shipboard familiarization (Reg.I/4)? **YES** / NO/ NA
- 1.9 Has this company updated records of seafarers with “ designated safety or pollution duties in the operation of the ship “ as defined by the Flag State for received following basic training (Section A-VI/I 9):
- 1.9.1. Personal Survival **YES** / NO/ NA
- 1.9.2. Fire Prevention and Fire Fighting **YES** / NO/ NA
- 1.9.3. Elementary First Aids **YES** / NO/ NA
- 1.9.4. Personal Safety and Social Responsibilities **YES** / NO/ NA
- 1.9.5. Proficiency in Survival Craft, Rescue Boat and Fast Rescue Boats **YES** / NO/ NA
- 1.10 Has the role of Masters and crew with regard to compliance with STCW 95 requirements been adequately explained by the Company (Section A-I/14). **YES** / NO/ NA

II. SHIP AUDIT

2. Requirements for Ship Audit[▲]

- 2.1 Do Officers employed on this ship hold valid National / Flag* State Certificates of Competence for the function and level of responsibility at which they are serving on board this ship (Reg.I/2)? **YES** / NO/ **NA**
- 2.2 Do ratings employed on this ship hold valid National / Flag State Certificates of Competence qualified to stand a navigational or engine watch (Reg.I/4, II/4 and III/4)? **YES** / NO/ **NA**
- 2.3 Do employed on this ship Officers Certificates of Competence were revalidated Within the last 5 years (Reg.I/11)? **YES** / NO/ **NA**
- 2.4 Do all seafarers employed on this ship hold valid medical examination certificates within the last 5 years recognized by the Authority issuing the Certificate of Competence (Reg. I/11)? **YES** / NO/ **NA**
- 2.5 Do all seafarers employed on this ship Certificates of Competence have the English Endorsement by the issuing Administration to attest STCW standards fulfillment (Reg. I/2)? **YES** / NO/ **NA**
- 2.6 If the ship is a Tanker, do the Officers and ratings involved in cargo work-serving Certificate of Competence hold endorsements qualifying them for service on such ships (Reg.V/1). **YES** / NO/ **NA**
- 2.7 Is on board the ship a valid Minimum Safety Manning Certificate issued by Flag Administration. **YES** / NO/ **NA**
- 2.8 Do the Certificates of Competence of Officers and ratings employed on this ship correspond to the requirements on the Minimum Safety Manning Certificate issued by Flag Administration to this ship (Reg.I/5)? **YES** / NO/ **NA**
- 2.9 Is on board the ship the operating Company written instruction concerning the Familiarization of seafarers for the first time assigned to this ship with the specific equipment and operating procedures on this ship (Reg.I/14). **YES** / NO/ **NA**

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ISM-CKL-STCW
REV.04



PANAMA SHIPPING REGISTRAR INC.

Place: PANAMA, REPUBLIC OF PANAMA

Date: 22 MARCH 2022

ENG. CARLOS A. NUÑEZ C.

Lead Auditor (name, signature, stamp/ID)



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Auditor (name, signature, stamp/ID)