## STCW CHECKLIST FOR ISM CODE AUDITS

			X COMPANY AUDIT	SHIP AU	DIT	
			"NO" by a circle in appropriate box for each item. It should be clarified under "Auditor's Notes" with ref			riate box. All
Company Name:		Name:	PROYECTOS Y SERVICIOS K´MINO XXI IMO SA	IMO Company No:	9296581	
Shi	p Nam	e:	N/A	IMO No:	N/A	
Date & Place:		ace:	22 MARCH 2022 & PANAMA, REPUBLIC OF PANAMA	Auditor name/ID:	ENG. CARLOS A. NUÑEZ C. / PA29	
1.	Requ	Does t	ts for Company Audit  he Company maintain updated documentary records co			YES / NO/ NA
1.1.1. Officers valid National / Flag State* Certificates of Competence for the function and level of responsibility at which they are serving on board the ship(s) (Reg. I/2 and I/14).			IES / NO/ NA			
	1.1.2. Ratings valid National/ Flag State* Certificates of Competence qualified to stand a navigational or engine watch (Reg. I/4, II/4 and III/14)			YES / NO/ NA		
		1.1.3. Officers certificates revalidation within the last 5 years (Reg.I/11)			YES / NO/ NA	
1.1.4. Certificated seafarers medical examination within the last 5 years recognissuing the Certificate of Competence (Reg. I/11)		the last 5 years recognize	zed by the Authority	YES / NO/ NA		
		1.1.5.	English endorsement of Certificates of Competence standards fulfillment. (Reg. I/2 and I/14).	by the issuing Administr	ration to attest STCW	YES / NO/ NA
		1.1.6.	Seafarers experience, i.e. service record prior to and d	uring employment (Reg. 1	[/ 14)	YES / NO/ NA

.2 If the record keeping is delegated by this Company to Manning Agency(s) or other third Party, can this Company demonstrate that all records identified in above item 1 are being maintained updated (Reg.I/14).

1.1.9. Capability of ships complements to effectively coordinate their activities in an emergency situation

and in performing functions vital to safety or to the prevention or mitigation of pollution (drills or

1.1.7. Training received before and during employment with this Company (Reg.I/14)

YES / NO/ NA

YES / NO/ NA

YES / NO/ NA

YES / NO/ NA

1.3 Does this Company maintain records of Minimum Safety Manning Certificates issued by the Administration for the ship(s) operated by this Company and ensures that such ship(s) are manned in compliance with the applicable safe manning requirements of the Administration (Reg.I/14)?

YES / NO/ NA

1.4 Has this Company provided Master(s) of the ship(s) operated by the Company with written instructions concerning the need to ensure that seafarers assigned to the ship for the first time are familiar with the specific equipment and operating procedures of the ship concerned (Reg.I/14).

YES / NO/ NA

1.1.8. Competency in assigned duties

emergency response exercises)

<sup>↑</sup> TO BE FILLED OUT AT EVERY INITIAL/ANNUAL/RENEWAL DOC AUDIT

<sup>\*</sup> DELETE AS APPROPRIATE



1.5	Do the written instructions mentioned in above item 4 cover the need to allocate a reasonable amount of time for this first time familiarization (Reg.I/14)?	YES / NO/ NA
1.6	Do the written instructions mentioned in above item 4 cover the designation of a responsible crew member who will ensure that seafarers new assigned to the ship operated by this Company will receive required familiarization in a language understood by them. (Reg.I/14)?	YES / NO/ NA
1.7	If the process used to familiarize the employed seafarers requires approval by the relevant Flag State, has this Company evidence from such Approval (Section VI/I).	YES / NO/ NA
1.8	Does this Company maintain updated records of employed seafarers that have completed the shipboard familiarization (Reg.I/4)?	YES / NO/ NA
1.9	Has this company updated records of seafarers with "designated safety or pollution duties in the operation of the ship "as defined by the Flag State for received following basic training (Section A-VI/I 9):	
	1.9.1. Personal Survival	YES / NO/ NA
	1.9.2. Fire Prevention and Fire Fighting	YES / NO/ NA
	1.9.3. Elementary First Aids	YES / NO/ NA
	1.9.4. Personal Safety and Social Responsibilities	YES / NO/ NA
	1.9.5. Proficiency in Survival Craft, Rescue Boat and Fast Rescue Boats	YES / NO/ NA
1.10	Has the role of Masters and crew with regard to compliance with STCW 95 requirements been adequately explained by the Company (Section A-I/14).	YES / NO/ NA

## II. SHIP AUDIT

## 2. Requirements for Ship Audit\*

2.1	Do Officers employed on this ship hold valid National / Flag* State Certificates of Competence for the function and level of responsibility at which they are serving on board this ship (Reg.I/2)?	YES / NO/ NA
2.2	Do ratings employed on this ship hold valid National / Flag State Certificates of Competence qualified to stand a navigational or engine watch (Reg.I/4, II/4 and III/4)?	YES / NO/ NA
2.3	Do employed on this ship Officers Certificates of Competence were revalidated Within the last 5 years (Reg.I/11)?	YES / NO/ NA
2.4	Do all seafarers employed on this ship hold valid medical examination certificates within the last 5 years recognized by the Authority issuing the Certificate of Competence (Reg. I/11)?	YES / NO/ NA
2.5	Do all seafarers employed on this ship Certificates of Competence have the English Endorsement by the issuing Administration to attest STCW standards fulfillment (Reg. I/2)?	YES / NO/ NA
2.6	If the ship is a Tanker, do the Officers and ratings involved in cargo work-serving Certificate of Competence hold endorsements qualifying them for service on such ships (Reg.V/1).	YES / NO/ NA
2.7	Is on board the ship a valid Minimum Safety Manning Certificate issued by Flag Administration.	YES / NO/ NA
2.8	Do the Certificates of Competence of Officers and ratings employed on this ship correspond to the requirements on the Minimum Safety Manning Certificate issued by Flag Administration to this ship (Reg.I/5)?	YES / NO/ NA
2.9	Is on board the ship the operating Company written instruction concerning the Familiarization of seafarers for the first time assigned to this ship with the specific equipment and operating procedures on this ship	YES / NO/ NA

<sup>↑</sup> TO BE FILLED OUT AT EVERY INITIAL/ANNUAL/RENEWAL SMC AUDIT

(Reg.I/14).

ISM-CKL-STCW

<sup>\*</sup> DELETE AS APPROPRIATE



## PANAMA SHIPPING REGISTRAR INC.

2.10	Do the instructions mentioned in above item 9 cover the need to allocate a reasonable amount of time for this ship specific familiarization (Reg.I/14)?	S YES / NO/ NA	
2.11	Do the instructions mentioned in above item 9 cover the designation of a responsible Crew member who will ensure that seafarers new assigned to this ship will receive Required familiarization in a language understood by them (Reg. I/14)?		
2.12	Are on board this ship updated records concerning the familiarization of new Seafarers assigned to this ship.	YES / NO/ NA	
2.13	Are the seafarers of this ship capable of demonstrating that they would be able to effective coordinate their activities in an emergency situation and in performing functions vital to safety or to the prevention of mitigation of pollution (Reg.I/14).		
2.14	Since crew coordination entails the ability to communicate in a common language, can effective communication be demonstrated	YES / NO/ NA	
2.15	Are on board this ship updated records to demonstrate that actions to counter potential emergencies are regularly practiced in drills or emergency response exercise.	YES / NO/ NA	
2.16	Is watch keeping personnel provided with at least the minimum rest periods as Prescribed by the STCW Code (Section A, Reg.VIII/1)?	YES / NO/ NA	
2.17	Are watch schedules posted where they are easily accessible (Section A, Reg.VIII/1)?	YES / NO/ NA	
2.18	Are on board this ship updated records of seafarers with "designated safety or pollution duties in the operation of the ship" for received following basic training (Section A-VI/I 9):		
	2.18.1. Personal Survival	YES / NO/ NA	
	2.18.2. Fire Prevention and Fire Fighting	YES / NO/ NA	
	2.18.3. Elementary First Aids	YES / NO/ NA	
	2.18.4. Personal Safety and Social Responsibilities	YES / NO/ NA	
	2.18.5. Proficiency in Survival Craft, Rescue Boat and Fast Rescue Boats	YES / NO/ NA	
2.19	Can the seafarers employed on this ship to which the basis safety training requirements are applicable readily demonstrate that they are competent in the areas specified (Section A, Reg.VI/1).	, YES / NO/ NA	
Audi	tor Notes		
	negative entries, major repairs and additional information, if any, describe in detail	T	
	NONE		
	110412		
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3. Auditor Notes For negative entries, major repairs and additional information, if any, describe in detail			
NONE			

This is to declare that the undersigned has examined and filled all the items of this report before signed it.

Place:	PANAMA, REPUBLIC OF PANAMA	Date:	22 MARCH 2022
	ENG. CARLOS A. NUÑEZ C.		
	Lead Auditor (name, signature, stamp/ID)		Auditor (name, signature, stamp/ID)
	PA - 29		